

# What are AI agents? Foundations, Architectures, and Implications

Wed, Jan 14, 2025

Reading: Agents Survey based on Wang et al. (2024)  
& Jungwei et al. (2025)



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# What do you most want to get out of today's class?

- ⓘ The Slido app must be installed on every computer you're presenting from

# Course Syllabus

Participate in Class Discussions [10%] – individual

Paper Critiques [20%] – individual

Paper presentations [20%] – individual

Project [50%] – team

Family



# Occupation: Faculty in Software Engineering

Change is the heart of software development

Programming is program transformation

Q1: **Analyze** what software changes occur in practice?

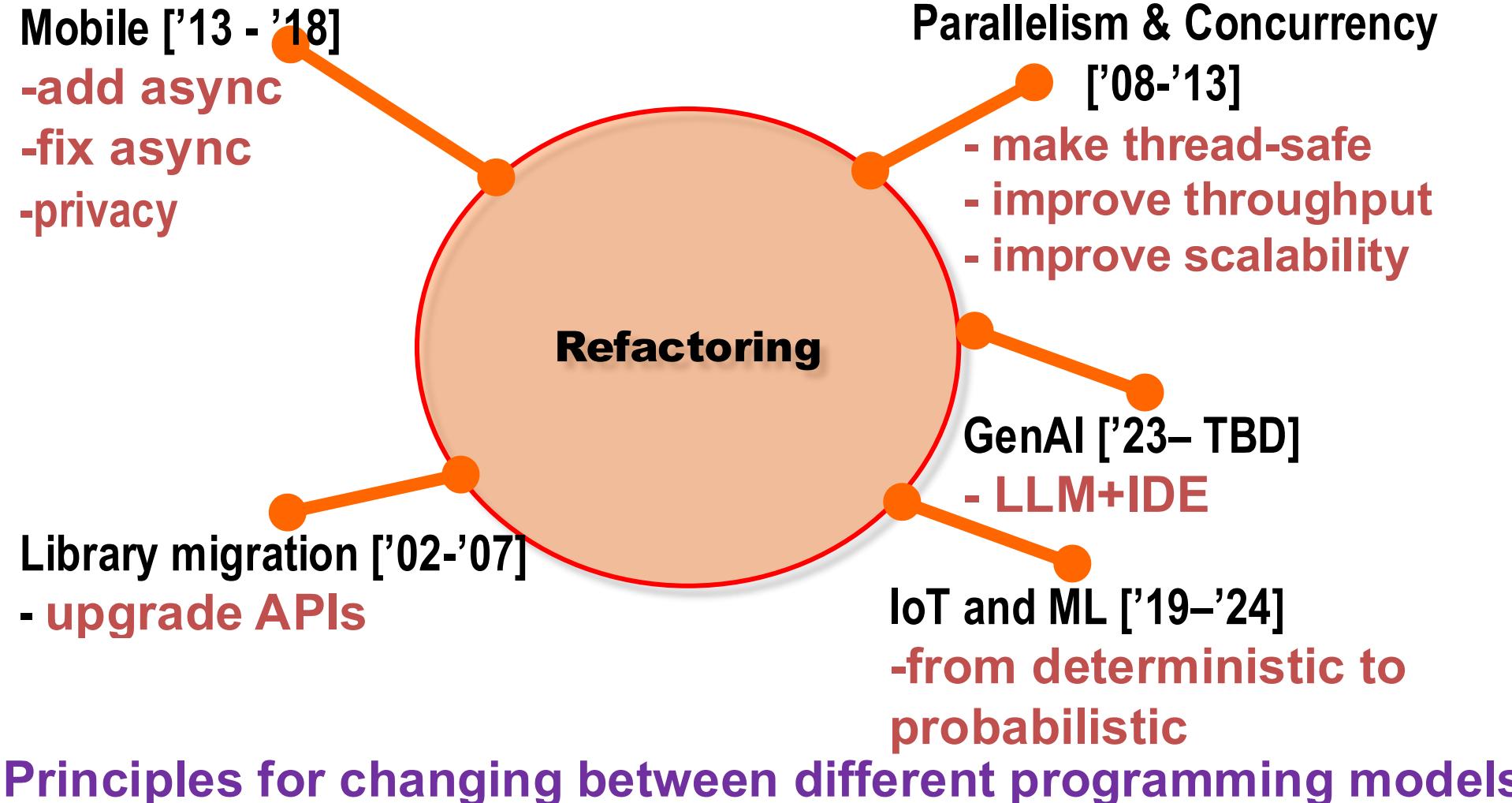
Q2: How can we **automate** them?

Q3: Can we **represent** programs as transformations? **Archive**,  
**retrieve**, and **visualize** them?

Q4: Can we **infer** higher-level transformations?



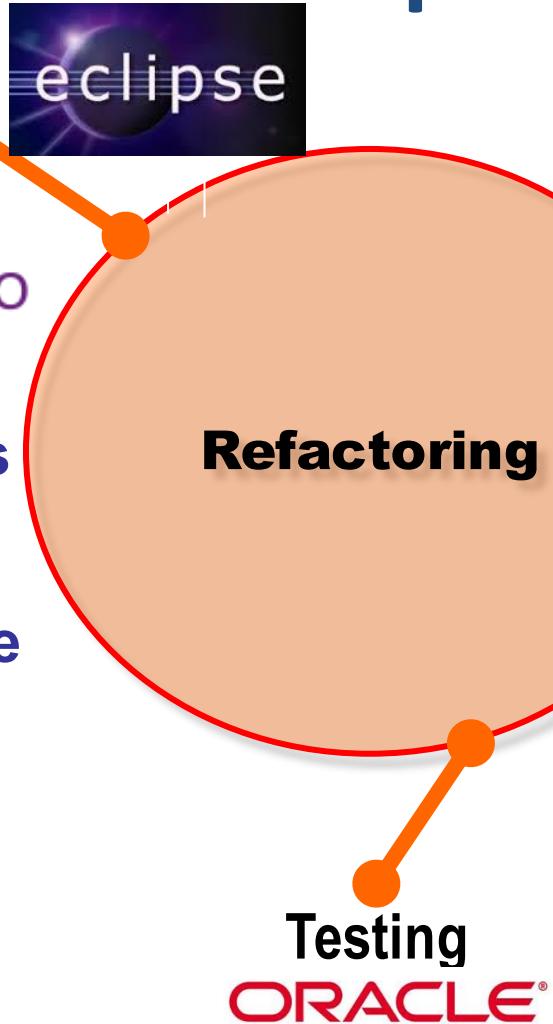
# Work in Your Strength Zone but Reinvent Yourself



# My dream: Practical Impact on SW Development



Automating  
-ship with official  
 NetBeans IDE  
 Visual Studio  
- hundreds of  
accepted patches  
 - first open-source  
refactoring  

- used at  Google  
 IBM  
- dozen labs

founded Workshop  
on Refactoring Tools,  
HotSwUp, Dagstuhl S.

Understanding  
- shaped APIs in Java  
and .NET official  
concurrency libraries

[learnparallelism.net](http://learnparallelism.net)  
150,000+ visitors

# Recreation





On Aug 5, 2015 ...



From personal success to significance

From a ladder climber to a ladder holder



# Motivation



**Dominicana**  
*se transforma*

# Why this survey on Autonomous Agents by Wang et al.?

Synthesizes dozens of agent systems

Proposes a **unified agent architecture**

Covers:

- Construction
- Applications
- Evaluation
- Challenges



# What was the most surprising finding from these two surveys?

- ⓘ The Slido app must be installed on every computer you're presenting from



**Before reading this paper,  
what did you call an  
“agent”?**

- ⓘ The Slido app must be installed on every computer you’re presenting from



# Which systems you've used would not qualify as agents after this reading?

- ⓘ The Slido app must be installed on every computer you're presenting from

# What is an autonomous agent?

## Classic definition

“A system situated within and part of an environment that senses and acts over time in pursuit of its own agenda.”  
— Franklin & Graesser (1997)

## Key properties

- Situated
- Acts over time
- Goal-directed

Which of these properties are *rare* in today’s LLM tools?

# Why LLMs Changed the Agent Landscape

## What LLMs brought

- Broad world knowledge
- Language-based reasoning
- Natural interfaces

## Contrast

- Traditional agents: narrow, trained
- LLM agents: broad, prompted

Automated Software Engineering (ASE) conference before & after & now

# Knowledge is power

Does world knowledge help agents reason — or bias them?

When might *less* knowledge be better?

# Unified Agent Architecture

**Profiling**

**Memory**

**Planning**

**Action**

Most modern LLM-based agents fit this structure.

Which module do current agent systems overemphasize?

Which module is most under-designed?

# Profiling Module

## What profiling does

- Defines role and identity
- Constrains behavior
- Often prompt-based

## Examples

“You are a senior software engineer...”

Persona-driven agents

## Questions

Is a role just a prompt — or a constraint?

Should an agent’s role ever change at runtime?

# Memory Module

## What memory is (and isn't)

- Not just chat history

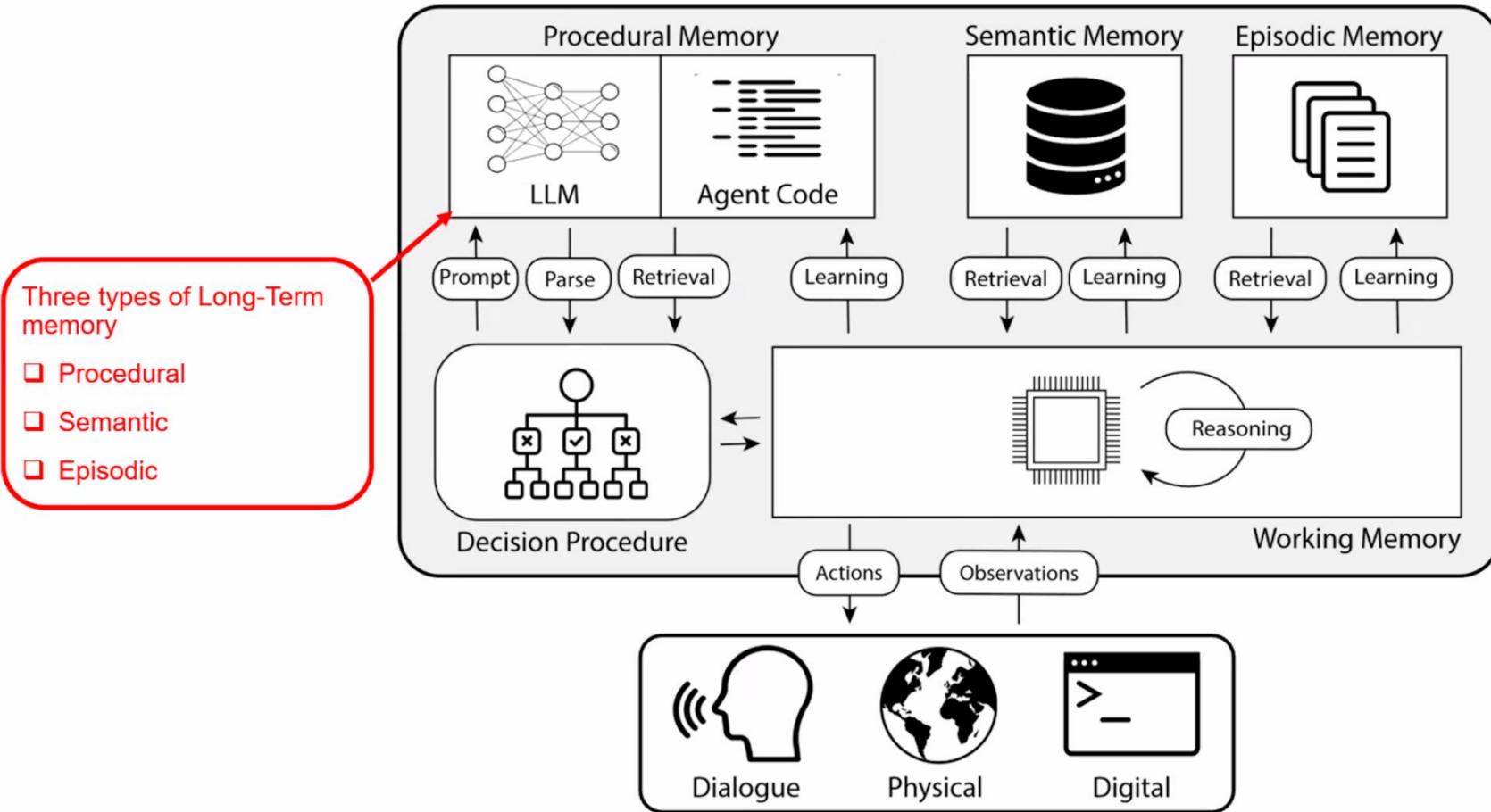
Operations: Read / write Reflection

Structures: Unified, Hybrid (short + long term)

## Questions

- What happens if memory is wrong but consistent?
- Should agents ever forget?

# Different types of agentic memory



# Planning Module

## Planning capabilities

- Task decomposition
- Single-path vs multi-path reasoning
- Feedback-driven vs static plans

**Examples:** Chain-of-Thought, ReAct, Tree-of-Thought

## Questions

When does planning stop being helpful?

Can too much planning reduce reliability?

# Action Module

## **Actions make agents risky**

- Tool invocation
- Environment interaction
- Side effects are real

**SE examples:** Editing code, Running tests, Triggering pipelines

## **Questions**

Should agents ever act without reversibility?

What's the most dangerous action an agent could take in SE?

# Capability Acquisition & Self-driven Evolution

**How agents gain capability:** Fine-tuning, Prompting & mechanism engineering

**Beyond static agents**

Reflection

Learning from trajectories

Multi-agent learning

**Promise:** Improvement over time

**Reality:** Hard to evaluate, Hard to trust

**Questions**

Does an agent need to learn to be useful?

Would you trust an agent that changes its own behavior?

# Application Landscape

## Where agents are applied

- Social science
- Natural science
- Engineering

**Observation:** Many are simulations, not deployed systems

## Questions

Which applications feel most convincing?

What could go wrong: trust an AI therapist, counselor?

Where does *software engineering* fit?

# Evaluation Strategies

## How agents are evaluated

- Objective metrics
- Human / subjective evaluation

## Challenges

Long-horizon behavior

Non-determinism

No gold standards

## The problem with benchmarks

## Questions

What would “unit testing” mean for an agent?

How do you know an agent is reliable?

# Key Challenges

Hallucinations

Knowledge boundary leakage

Efficiency

Cost

# Your Questions

## 1> What is an agent, really?

- Are LLM-based agents a genuinely new research direction, or a repackaging of prompt-chained LLMs with tools and loops?
- Is “agent” a useful unit of analysis for research, or merely an implementation pattern?
- How should we define autonomy in the era of frozen foundation models?
- Is the distinction between tools, models, and agents conceptually meaningful?
- What does “capability acquisition” mean if model parameters are not changing?

# Your Questions – part 2

## 2> Evaluation, Assessment, and Research Standards

- What should count as the gold standard for evaluating agents: task success, robustness, cost, human satisfaction, or something else?
- Should hybrid evaluation (automated + human judgment) be the default for agent assessment?
- Should survey papers be evaluated differently from technical research contributions?
- How do hallucinations and other LLM weaknesses uniquely affect agent evaluation?
- Which research assumptions break down in real-world or enterprise deployments?

# Your Questions – part 3

## 3> Practical Use, Adoption, and Real-World Impact

- What are the most compelling real-world use cases for LLM-based agents today?
- Which agents mentioned in the paper are actually deployed and used, beyond demos?
- Who is using these agents, and how accessible are they to broader audiences?
- Are social or simulation-based agents (e.g., social AI or educational agents) meaningfully adopted?

# Your Questions – part 4

## 4> Memory, Feedback Loops, and Planning Tradeoffs

- Does long-term memory introduce more failure modes than benefits?
- Under what conditions is planning-with-feedback superior to static planning?
- When do feedback loops meaningfully improve performance versus just increasing latency and cost?
- What are the tradeoffs between fine-tuning models and adding context or memory?
- How do techniques like ToT and feedback loops change agent reliability?

# Your Questions – part 5

## **5>Human Feedback, Oversight, and Autonomy**

- How much human feedback is necessary for stable and trustworthy agent behavior?
- When should human intervention be mandatory versus optional?
- Does reducing human involvement meaningfully increase autonomy, or just reduce safety margins?

# Breakout Activity: Agent Design

When you build an agent this semester:

- Which module would you design first?
- Where would you limit autonomy?